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PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

~~10/15/2003 HMARZ11 00000013-10681208~~

~~01-FC:1001 770.00 OP~~
~~02-FC:1201 -86.00 OP~~

Adjustment date: 01/02/2004 EEKUBAY1
~~10/15/2003 HMARZ11 00000013 10681208~~
01 FC:1001 -770.00 OP
02 FC:1201 -86.00 OP

01/02/2004 EEKUBAY1 00000002 10681208

01 FC:2001 385.00 OP
02 FC:2201 43.00 OP

Repln. Ref: 01/02/2004 EEKUBAY1 0007310800
DAH:133402 Name/Number:10681208
FC: 9204 \$428.00 CR

PTO-1556
(5/87)



DEP E REF

DATE COM 307
811

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard M. KRIS et al.

Examiner: Not Yet Assigned

Serial No.: 10/681,208

Group Art Unit: Not Yet Assigned

Filed: October 9, 2003

For: HIGH THROUGHPUT ASSAY SYSTEM

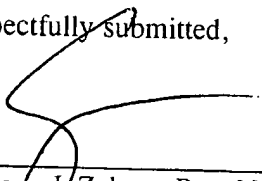
REQUEST FOR REFUND

Mail Stop 16
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Further to applicants filing of a Continuation in the above-identified application (a copy of which is attached hereto), Applicants hereby request a refund in the amount of \$428.00. Applicant is entitled to small entity status and therefore, the correct fee is \$428.00. Therefore, it is respectfully requested that the difference, i.e., \$428.00, be refunded to counsel's Deposit Account No. 13-3402; two copies of this page are attached for this purpose.

Respectfully submitted,



Anthony J. Zelano, Reg. No. 27,969
Attorney for Applicants

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Attorney Docket No.: NEOGEN-I-C3

Filed: December 11, 2003

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PTO/SB/05 (11-00)

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Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. NEOGEN-1-C3

First Inventor Richard M. KRIS et al.

Title HIGH THROUGHPUT ASSAY SYSTEM

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status:
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 71)
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 19)
5. Oath or Declaration (Total Pages 3)
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information: Examiner C.L. MAUPIN

of prior application No: 09/662,749 / September 15, 2000
Group / Art Unit: 1637

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

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Zip Code

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Name (Print/Type)

Nicole E. Kinsey

Registration No. (Attorney/Agent)

50,723

Signature

Date

October 9, 2003

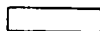
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October 9, 2003

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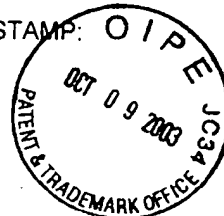
DOCKET NO.: NEOGEN-1C3

APPLICANT(S) Richard M. KRIS et al.

PARENT CASE: NEOGEN-1C1

THE PATENT AND TRADEMARK OFFICE
STAMP HEREON ACKNOWLEDGES RECEIPT
OF THE ABOVE-IDENTIFIED APPLICATION,
INCLUDING THE FOLLOWING PAPERS:

PTO RECEIPT STAMP:



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☒ RULE 53b CONTINUATION, WITH

- ☒ COPY OF ORIGINAL APPLICATION (71 pgs)
- ☒ COPY OF ORIGINAL DECLARATION (3 pgs)
- ☒ COPY OF ORIGINAL DRAWINGS (IF ANY) (19 pgs)

☐ RULE 53b DIVISIONAL, WITH:

- ☐ COPY OF ORIGINAL APPLICATION
- ☐ COPY OF ORIGINAL DECLARATION
- ☐ COPY OF ORIGINAL DRAWINGS (IF ANY)

☐ PROVISIONAL APPLICATION WITH

- ☐ SPECIFICATION
- ☐ DRAWING

☐ TRADEMARK APPLICATION, WITH:

- ☐ DRAWING
- ☐ SPECIMENS

☐ NEW ORIGINAL APPLICATION INCLUDING
PGS. OF SPEC. PGS. CLAIMS,

- ☐ PGS. ABSTRACT
- ☐ SUBMISSION UNDER 37 CFR 1.53(F)
- ☐ CONTINUATION-IN-PART
- ☐ DESIGN APPLICATION
- ☐ SHEETS OF DRAWINGS
- ☐ FEE TRANSMITTAL
- ☐ PRELIMINARY AMENDMENT
- ☐ INFORMATION DISCLOSURE
- ☐ PRIORITY DOCUMENT(S)
- ☒ DECLARATION
- ☐ ASSIGNMENT
- ☐ APPLICATION DATA SHEET

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☐ U.S. NAT'L PHASE OF INT'L APPLN. NO. PCT/

/ FILED , INCLUDING

☐ OTHER: _____

Dkt'd 10/9/03 - LR

Initials: NEK:bef
Date: October 9, 2003